

Managing Sanctions Risk



Compliance and Regulatory Programme Design and Implementation

Advising on the detailed design of a client's sanctions compliance programme, taking into consideration the relevant regulatory regime, the specific nature of the business and its activities and the firm's risk appetite. FTI Consulting can also assist with implementation of the programme and post-implementation testing.



Strategic Advisory (Board/Government)

Advising on sustainability and appropriateness of corporate or governmental strategy and risk appetite with regards to sanctions (e.g., choice of regions/geographies, types of products and sales channels).



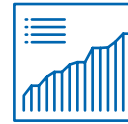
Product Classification and Licensing Strategy

Implementing enterprise-wide product classification and licensing rationale, embedded within the data, leveraging global experience in the movement of product across the end-to-end supply chain from initial design phases, through to manufacturing through to return material adjustment and warranty provisions.



Compliance and Regulatory Programme Assessment

Assessing the performance of the sanctions compliance programme by conducting walkthroughs, gap analysis and health checks. Recommendations will be made to address any matters identified, and FTI Consulting can also assist with implementation and post implementation testing.



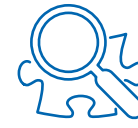
Sanctions Screening & Monitoring Design, Tuning, Analytics & Validation

Develop effective automated and data driven compliance programmes. Developing, tuning, and validating screening and monitoring incorporates a suite of sophisticated tools including extensive rule libraries, statistical analyses packages, and advanced scoring and weighting algorithms. Enhanced automated Management Information (MI) from data validity through to macro pattern analysis reduce sanctions risk exposure.



M&A Compliance Programme Due Diligence

Desk based review of target company's sanctions compliance programme to identify any risk areas or potential divergences in approach.



Coaching, Training, and Resource Augmentation

Providing tailored regulatory and compliance training to clients, using a combination of technical knowledge sharing and practical workshops. Supporting operational requirements such as alert, case reviews, and reporting.



Data Enrichment, Master Data Management & Entity Resolution

Evaluate completeness and enhance master data through internal and external sources, leveraging data analytics and entity resolution. The most effective compliance programmes are underpinned by accurate, consolidated, and meaningful data.



M&A Post Closing Integration

Post-acquisition walkthroughs and sample testing to assess the suitability and effectiveness of sanctions compliance programmes.



Regulatory Response and Remediation

Provide regulatory project/programme review, assurance & management, subject matter expertise, client file remediation, lookback analysis services, and attestation support.



Supply Chain, Third-Party Due Diligence & Risk Management

Assess companies' risk of violating sanctions and/or trade restrictions based on individual and unique business operations. Develop a programme to identify red flags that may indicate diversion to or transactions with sanctioned or restricted parties or locations. Identify recommendations to enhance and improve compliance programmes. Leverage FTI Comply to manage third-party due diligence.



Forensic Audits / Investigative Data Analytics

Independently reviewing and interrogating a range of internal records, as well as customer, operational, supply chain, and transactional systems data. Technology experts in digital forensics and eDiscovery aid in the analysis of large and complex datasets. Where appropriate we will utilize data & analytics in the form of the FTI Augmented Investigations® capability, to take advantage of machine learning, natural language processing, and networking analytics, enabling expertise.



Crisis Communication Management

Stakeholder Mapping, Perception Audit, Analytics Driven Threat Assessment, Develop and Implement Communication Programmes.



Delisting Advisory Services

Determining the reason for listing & assessing feasibility for delisting. Not every listing is susceptible to removal. Develop and implement a delisting strategy. Work with counsel to submit removal request and monitor progress.



Wind-down support for Sanctioned Country Operations

Support of wind-down of Sanctioned Country Operations, including the initial assessment of the situation, strategies for wind-down and exit that are in line with regulatory body expectations. Perform systems interrogations through to inventory assessments and removal strategy through to applications for the appropriate licences to allow the wind down to happen compliantly to cover existing operations and staff in country.



List & License Application and Management Support

Support unilateral and multilateral export licensing processes with expertise in understanding the psychology of U.S. and foreign law enforcement, regulatory, and intelligence agencies.



Regulatory Reporting

Assisting clients to accurately and efficiently meet their reporting obligations. Through review and sample testing of existing processes, suggestions for improvements can be identified and assistance provided to implement and test.



Independent Monitoring or Related Support Services

Act as or provide support to the monitor. In other cases, we may be engaged as part of the resolution of a matter, helping to ensure that corrective actions have been put in place to address past conduct.