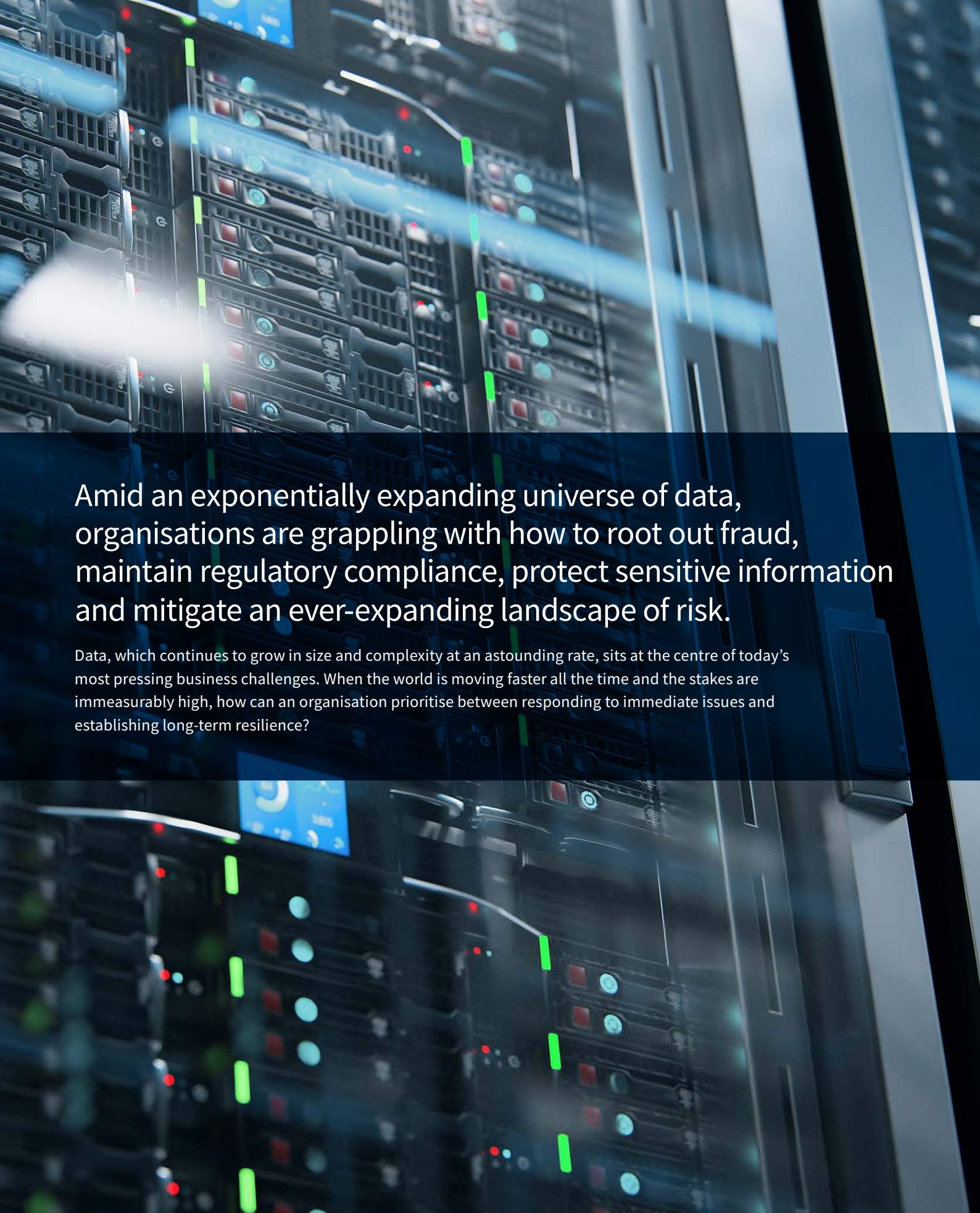




# Confronting Data Risk with Expertise and Innovation





Amid an exponentially expanding universe of data, organisations are grappling with how to root out fraud, maintain regulatory compliance, protect sensitive information and mitigate an ever-expanding landscape of risk.

Data, which continues to grow in size and complexity at an astounding rate, sits at the centre of today's most pressing business challenges. When the world is moving faster all the time and the stakes are immeasurably high, how can an organisation prioritise between responding to immediate issues and establishing long-term resilience?



## HIGH-STAKES INTERNAL AND EXTERNAL INVESTIGATIONS

Internal and regulatory investigations represent the most high-stakes scenarios in the corporate world: fraud, bribery, IP theft, antitrust violations, workplace misconduct and many forms of white-collar crime. These matters often extend across international borders and require unique processes and expertise for uncovering evidence.

Unlike discovery in litigation matters, which focus on proportionality, internal and regulatory investigations demand comprehensive fact finding as quickly as possible, with global government authorities likely serving as judge and jury over outcomes. Mistakes or overlooked evidence can introduce a great deal of risk.

A successful investigation requires flexible, multi-disciplinary expertise to tie all the pieces together. FTI Technology's Digital Forensics & Investigations practice offers a wide range of global services, with teams, labs and data centres in the U.K., Europe, Middle East, U.S., Australia and Asia. FTI Technology's experts bring extensive experience in identifying and untangling webs of interdependencies that link people, corporate entities, monetary amounts, business partners and outsiders to the critical events under analysis, and have successfully completed hundreds of high-stakes, global investigations.

Key capabilities include:



### Global Reach, Local Expertise

FTI Technology's digital forensics professionals can quickly deploy from our offices around the world to collect relevant data or uncover key facts behind an investigation. Clients benefit from the team's extensive experience managing global matters, including adherence to foreign data privacy laws, in-country cultural awareness, discrete processes that do not interrupt the regular course of business and multi-lingual capabilities.



### Remote Collections and Investigation

Our teams around the world bring years of experience carrying out remote data collections in scenarios where physical presence at client premises is inefficient or impractical. We have the agility and expertise to respond promptly with custom solutions and established processes to enable a defensible collection, transfer and review of data, no matter the circumstances. Using custom and industry-standard tools, our experienced data collection specialists maintain the forensic integrity, defensibility and security of data throughout, supported by full chain of custody tracking. We provide on-site support, managed remote collections and guided self-collections depending on the client's unique needs.





## Cryptocurrency Disputes and Investigations

Cryptocurrencies and digital assets have created new opportunities and challenges for corporations and law firms. From civil disputes resulting in litigation, to criminal proceedings concerning fraud, misuse and terrorist funding, cryptocurrency disputes and investigations are on the rise and present a new, rapidly evolving subject area. Our team includes certified blockchain and cryptocurrency experts, smart contract developers and cryptocurrency investigators who work with legal teams to investigate blockchain or cryptocurrency claims. Our experts utilise proprietary and cutting-edge investigative technology and methodologies to help extract relevant data, trace assets and deliver detailed expert witness reports for judges, juries and clients.



## Managed Document Review

FTI Technology's custom workflows combine analytic technology and human expertise to meet the demands of projects of any magnitude, all in direct collaboration with counsel. We recruit and retain our own review attorneys, including foreign language experts, who are trained on our platform, processes and a variety of case types. We drive efficiency at every step ensuring budget predictability and on-time fulfilment of regulatory deadlines.



## Investigations Methodology

Our experts employ a unique investigations methodology based on decades of collective experience across FTI Consulting's segments.

## CLIENT SUCCESS STORY

### FTI TECHNOLOGY NAVIGATES PANDEMIC CHALLENGES AND STATE SECRETS RESTRICTIONS IN VAST CROSS-BORDER PHARMACEUTICAL INVESTIGATION

Following a 2019 Food & Drug Administration recall of a drug that was thought to contain a harmful contaminant, numerous lawsuits were filed against the pharmaceutical manufacturer in multiple states. The matter eventually consolidated into class action litigation, triggering a widespread investigation spanning three countries. Over the course

of more than 18 months, FTI Technology's Digital Forensics & Investigations team conducted the investigation across numerous facilities and more than 80 custodians, overcoming complex challenges relating to cross-border data transfer issues, Chinese state secrets restrictions and global data privacy regulations. The team provided local



expertise and support in China when other providers couldn't, ensuring forward progress and establishing a trusted advisory relationship with the client and outside counsel, amid numerous global restrictions.



## ANTITRUST, COMPETITION AND MERGER CLEARANCE

In M&A, organisations are under market, shareholder and financial pressures to bring transactions to a timely close. Adding to the intensity are merger control investigations that often involve large custodial data sets spread across multiple global locations. Stringent regulatory requirements for responding to these inquiries can often lead to highly compressed timelines which carry a significant risk of penalties or ‘stop the clock’ situations. Similarly, antitrust and cartel investigations are presenting legal teams with a multitude of unfamiliar challenges spurred by massive data volumes, emerging data sources, globalisation and evolving data privacy laws.

Overwhelmed legal teams need a trusted partner with deep expertise to successfully navigate these issues and protect the value of their deals. FTI Technology’s antitrust, competition and merger clearance investigations experts bring all the elements necessary to handle the unique characteristics of these sensitive matters. Our proven workflows and follow-the-sun client service help ensure timely and accurate productions to regulators every time. Key capabilities include:



### Merger Clearance Investigations and Second Requests

With the foundation of more than 100 successfully completed merger deals, FTI Technology is a tested and proven guide through the complex merger clearance process. We have specialised experts in every major region around the world who bring hands-on experience negotiating with regulatory bodies in the U.K., EMEA, the U.S. and Canada. Our vetted playbook incorporates our leading-edge technology, workflows and the flexibility and ingenuity of our teams.



### Managed Document Review

FTI Technology’s custom workflows combine analytic technology and human expertise to meet the demands of projects of any magnitude, all in direct collaboration with counsel. We recruit and retain our own review attorneys, including foreign language experts, who are trained on our platform, processes and a variety of case types. We drive efficiency at every step ensuring budget predictability and on-time fulfilment of regulatory deadlines.



## Worldwide Regulatory Expertise

Our team is comprised of the world's foremost authorities on the law and procedures around Phase II merger control, Second Requests and Canadian Supplementary Information Requests (SIR), expert technologists and a skilful team of consultants and reviewers around the globe. FTI Technology has established relationships with numerous regulators, including the EU Commission Directorate-General for Competition (DG Comp), U.K. Competition and Markets Authority (CMA), U.S. Department of Justice (DOJ), Federal Trade Commission (FTC) and the Canadian Competition Bureau (CCB) which allows us to seamlessly liaise and negotiate on behalf of our clients.



## Advanced Analytics

We leverage our client's preferred e-discovery platform to provide a flexible and cost-effective solution for European Phase II Merger Control, Second Request, SIR and other competition-related investigations. Our team is deeply experienced with custom solution development across a broad range of legal review software, advanced data analytics and predictive coding techniques.



## Antitrust Compliance Programmes

The world's leading antitrust and cartel experts help our clients across all stages of antitrust compliance, from implementing proactive compliance programmes, to managed services for ongoing detection and rapid response for legal, enforcement and regulatory matters.



## Dawn Raids

Multinational organisations are facing intensifying pressure to prepare for antitrust related dawn raids—unannounced inspection by a regulator on suspicion of infringement. This is prompting a renewed need for legal and compliance teams to review procedures and develop clearer understanding of their IT landscape so they can quickly respond to an inspector during an unannounced investigation. FTI Technology's experts can help clients establish dawn raid readiness through:

- Data mapping
- Policy and process refresh
- Adjusting dawn raid response to fit remote work environments
- Protecting against over-collection
- Communicating and negotiating with regulators
- Remediating issues after a dawn raid

## CLIENT SUCCESS STORY

### FTI TECHNOLOGY DELIVERS VAST DATA IDENTIFICATION AND REMEDIATION EXERCISE FOR MULTI-NATIONAL ACQUISITION IN RESPONSE TO UNPRECEDENTED DG COMP PROVISION

When DG Comp reviewed an acquisition involving a European manufacturer and a multi-billion-dollar U.S.-based manufacturer, they provided conditional approval for the deal, contingent upon an unprecedented provision. For the purchase to move forward, the target company would need to identify and destroy all instances of specified third-party IP across its entire IT landscape. This was the first time DG Comp had ordered a data-driven remedy in

a merger clearance decision. FTI Technology was engaged to advise on the matter and perform data remediation. Over the course of an 18-month engagement, the team solved organisational, technical and logistical barriers of the highest magnitude. This matter was the ultimate demonstration of FTI Technology's ability to address critical business and data challenges. The process resulted in the identification and remediation of more than



230,000 files, compliance with merger clearance provisions and reduced legal and regulatory risk relating to the acquisition. The team's unique blend of expertise across stakeholder management, competition and technology was indispensable to the fulfilment of DG Comp's requirements and deadlines.

## CLIENT SUCCESS STORY

### FTI TECHNOLOGY SAVES MILLIONS FOR A MULTINATIONAL CORPORATION

A multinational life sciences corporation was facing three simultaneous investigations relating to its pending merger with a U.S.-based corporation. More than 100 custodians were within scope of the information request, and counsel needed to collect, process and review more than 30 million files across numerous continents and languages

to produce relevant documents to regulators located in Europe, the U.S. and Canada. FTI Technology's team of global experts worked around the clock to establish processing and review teams at the client's locations to meet data privacy requirements. The team applied predictive coding and custom processes to mitigate the massive data set and ensure



foreign language documents were automatically identified for machine translation—resulting in an estimated \$4 million in cost savings.



## DISPUTES AND LITIGATION

People, organisations and nations are more interconnected than ever before. The ongoing increase in globalisation has driven change and growth in countless industries, transformation in business processes and diversification in economies. It has also, alongside a number of societal and regulatory shifts, contributed to a steady rise in the incidence of domestic and international disputes. Worldwide, organisations are facing increased workloads relating to commercial and labour litigation, COVID-19-related disputes, environmental, social and governance (ESG) matters, legal actions for data protection violations, class actions, cross-border cases and more.

Meeting the demands of these matters is difficult for even the most sophisticated legal teams. FTI Technology delivers a range of solutions and services, supported by industry-leading case management practices, to help in-house legal departments and their law firms respond to rising litigation and disputes challenges. Key capabilities include:



### E-discovery Consulting and Services

Data volumes and variety are growing every day, making e-discovery more time consuming, complex and costly. FTI Technology's experts help our clients reduce data volumes and thereby reduce discovery costs by remediating redundant and trivial information, collecting only what's necessary, culling data in early case assessment and utilising analytics for smarter reviews. We do this with defensibility as a top priority, through our proven out-of-the-box and customised workflows and use of best-of-breed technology. We also help our clients implement the best technology for their needs via hosting, on-demand services and/or trainings. Every matter is supported by our experienced and trained case management team, which maintains the highest degree of quality and service throughout.



### Emerging Data Sources

FTI Technology provides services and proprietary technology to extract data from emerging data sources, including chat applications, collaboration tools, social media and cloud-based platforms. Our experts help clients enrich their data and visually organise it in a way that is easy to understand, review and integrate with other data residing in the client's e-discovery platform.





## Predictive Coding and Technology Assisted Review

With ramping demand for legal departments to be more efficient and reduce costs, our experts support counsel in their journey to adopt advanced analytics, predictive coding and other technology-assisted review (TAR) functionality. Our experts can help clients leverage TAR across the spectrum of culling irrelevant documents, identifying key facts, enabling review quality control and prioritising documents in review to save time, reduce document sets and streamline costs.



## Forensic Collections

Our teams around the world bring years of digital forensics experience and physical and remote data collections. We have the agility and expertise to respond promptly with custom solutions and established processes to enable a defensible collection, transfer and review of data, no matter the circumstances. Using custom and industry-standard tools, our experienced data collection specialists maintain the forensic integrity, defensibility and security of data throughout, supported by full chain of custody tracking.



## Find Facts Fast and Story Development

Our team of dedicated researchers, combined with advanced analytics technology, can quickly unearth key facts and data sets to enable timely and strategic decisions in investigations or litigation with our Find Facts Fast offering. Through our Story Development solution, the same strategies are applied to deliver a strong and timely case narrative that supports counsel in developing case strategy through fact memos, witness summaries and chronologies.



## Independent Advising and Expert Services

Our experts are regularly called upon to serve as independent, third-party advisors. We can evaluate e-discovery processes and results to provide an expert opinion on the accuracy, reliability and defensibility of the workflows used by other firms.



## Legal Hold and Preservation

FTI Technology's experts support clients in defensibly preserving data at the outset of a matter as well as updating retention policies and evaluating, implementing and migrating legal hold solutions.



## Managed Document Review

From software hosting to workflow design, project management and staffing, our teams can manage the entire e-discovery process through our transparent pricing model for managed services. These services can be delivered independently or as a supplement to existing legal teams.



## Technology Partnerships

Across all of FTI Technology's practice areas and regions, our teams are comprised of technologists with deep understanding of the challenges inherent in litigation, disputes, investigations and other legal and compliance matters. We leverage this insight to help our clients evaluate and utilise technology and to provide specialised services upon the industry's leading platforms. Our technology partnerships include Reveal, Brainspace, Relativity, Exterro, Index Engines, Microsoft 365, Nuix Discover and more.



## DATA PRIVACY

Organisations continue to face a profoundly complicated regulatory, reputational and operational data privacy risk environment. With data privacy topping the list of concerns among G20 business leaders, legal and compliance teams are seeking ways to enhance their data privacy programmes, automate enforcement and control, reduce third-party risk and foster a culture of compliance.

Our Information Governance, Privacy & Security (IGP&S) practice meets clients wherever they are on the privacy maturity spectrum to deliver practical business solutions that reduce the risks associated with privacy obligations, provide data insights and derive value from a strong privacy posture. Key capabilities include:



### Programme Assessment, Development and Implementation

Whether a client has a privacy programme in place or is starting from scratch, our teams will evaluate the applicable regulations and requirements, identify gaps and areas of risk across people, process and technology, develop a roadmap and drive policy and procedural implementation. We also conduct privacy impact assessments for new and existing tools and partnerships, help establish privacy by design principles, create data maps and inventory to help the organisation align practices with its risk framework and lead remediation efforts for sensitive or outdated information.



### Change Management, Training and Education

Maintaining a strong data privacy stance requires the engagement of all employees who engage with personal data. Our teams, often supported by FTI Consulting's Strategic Communications segment, develop data privacy awareness campaigns and multi-channel stakeholder specific training materials for employees, HR, IT, customer support, marketing and other key stakeholder areas. Our approach to change management and training ensures our client's specific drivers and company culture are fully reflected in messaging and tonality of all communications and materials.



## Data Privacy Officer as a Service

FTI Technology's experts can serve as a client's back-office privacy programme support and/or leadership, providing day-to-day operational, subject matter and risk management support.



## M&A Privacy Assessments

Data privacy issues can significantly impact the value and/or long-term viability of a merger or acquisition. Our experts provide new venture, M&A privacy due diligence and post-acquisition integration support to uphold deal value and mitigate unexpected risk that could undermine the success of a merger post-deal.



## Data Subject Access Requests

We help clients define a standardised process to review and efficiently fulfil their obligations relating to data subject rights under GDPR, CCPA and other global data protection regulations. This includes defining workflows, roles and responsibilities for internal and external stakeholders, enabling efficient data mapping, identification and searching across diverse data sources for timely response to data subject access requests (DSARs).

## CLIENT SUCCESS STORY

### FTI CONSULTING NEUTRALISES DATA PRIVACY CHALLENGES FOR U.S. DIVISION OF EUROPEAN PHARMA AND MEDICAL DEVICE COMPANY

Our IGP&S team was engaged to design, build and run a global pharmaceutical and medical device company's data privacy risk and compliance programme. The EU-based client regularly transfers data between its European parent entity and its U.S.-based division for business-related analysis and processing. The parent entity sought to implement Binding Corporate Rules (BCRs) to maintain compliance with the General Data Protection

Regulation (GDPR) and permit the flow of data from European Economic Area (EEA) countries to non-EEA countries. These BCRs spurred new data privacy requirements for the U.S. group. FTI worked with the U.S. head of privacy to ensure compliance with the new BCRs, so that cross-border data transfer for business purposes, investigations and litigation could continue. Our privacy experts assessed the U.S.-based privacy gaps and implemented a robust programme



from the ground up, to bring the client into compliance with its parent company's requirements and U.S. and international data privacy laws. The project has allowed for data to flow from the parent company to the U.S. entity with minimal compliance risk.





## DIGITAL RISK MANAGEMENT

With the way the business landscape has changed, with organisations operating in numerous countries and among highly dispersed remote teams, it's become very difficult to manage information risks and monitor for, maintain and enforce regulatory compliance.

All too often, organisations realise that their controls aren't working, or that they didn't have the right expertise in place, only after a problem has surfaced. The proliferation and adoption of emerging data types such as collaboration applications and advanced technologies such as AI, IoT and blockchain are introducing unforeseen and often undetected risks that can undermine an organisation's reputation, business viability and compliance.

Moreover, large enterprises face tremendous challenges when working to establish proactive compliance programmes amid a growing list of global legal and regulatory requirements. In addition to data privacy regulations that vary by jurisdiction, organisations must navigate numerous industry-specific laws that govern their data practices. Alongside ever-changing obligations, anything from the number of stakeholders, impacted systems and technological requirements to affected employees, data volumes, budget requests and M&A activity can complicate an organisation's efforts to strike balance between strong digital risk management and optimised day-to-day business processes.

Across all our segments, FTI Consulting is the preeminent global provider of the domain expertise needed to get ahead of these issues as regulatory enforcement and the incidence of investigations continue to increase. We help our clients proactively tackle a complicated array of risks through people, process and technology improvements.

### Data Governance

Our data governance solutions encompass multi-disciplinary experts and programmes that help clients meet legal and compliance obligations, optimise business outcomes and support the needs of all business units. We enable data accountability and quality, establish data lineage, reduce costs and mitigate risks by offering:

- Advising on policies, processes, role-based access controls and security measures.
- Identification and inventorying of disparate structured and unstructured information sources.
- Mapping of regulated data, such as PII, ePHI and CUI within databases and business systems.
- Design of architecture and platforms to support cloud migration and establish central repositories such as data lakes.
- Remediation and decommissioning of systems, applications and underlying databases.
- Obfuscation of sensitive data, such as anonymisation, encryption, tokenisation and masking.
- Expert witnesses and forensic examiners to validate data governance efficacy and defensibility.
- Training and change management to ensure adoption, buy-in, enforcement and long-term programme success.

Key digital risk management capabilities include:



### Blockchain and Digital Assets Advisory

As with any emerging technology or new business practice, progress requires ongoing education, adaptability and risk preparedness. The Blockchain and Digital Assets practice at FTI Technology has worked with dozens of clients in the blockchain and cryptocurrency space as well as those with an emerging interest in it to provide technical assessments of existing practices, risk identification and remediation and evaluation of potential third-party issues. This includes in-depth technical audit of digital assets under custody, technology roadmaps, business models and privacy and security practices during M&A due diligence, which FTI Technology provided as the technical advisor in the crypto industry's first \$1 billion acquisition.



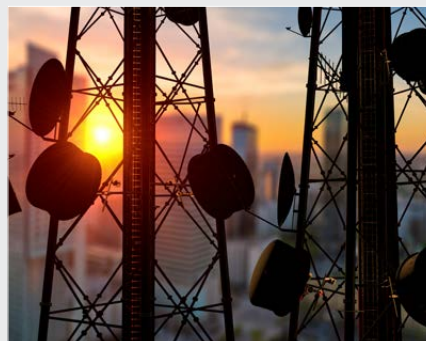
### Information Governance

FTI Technology's large, global team of information governance experts work with clients across all industries and five continents to develop and implement solutions that reduce corporate risk, cut storage costs, secure data, improve the e-discovery process and enable faster and deeper insight into data. Our team provides data minimisation and archiving, retention and remediation support for back-ups, redundant data and legacy systems, as well as data mapping, legal hold redesign, IG strategy, policy development and more.



### Risk & Compliance

Multinational organisations are under tremendous regulatory pressure and facing growing operational risks relating to an expanding data footprint. FTI Technology's extensive domain expertise in antitrust, anti-bribery, anti-corruption and other high-stakes risk areas underpins our approach to advising on and implementing data-driven solutions to solve complex risk and regulatory compliance problems. We provide both proactive and reactive services that include Mature Model Consulting, Risk Assessments, Procedure and Workflow Development, Communications Monitoring and Technology Risk Management Consulting.



### CLIENT SUCCESS STORY

## FTI CONSULTING LEADS CRITICAL COMPLIANCE AND DATA GOVERNANCE PROGRAMME FOR MULTINATIONAL TELECOMMUNICATIONS CORPORATION

For a global telecommunications client, a steady flow of information was critical to its operations, from service orders to data needed by technicians in the field. Additionally, the client was also under pressure to fulfil Cybersecurity Maturity Model Certification (CMMC) requirements in order to preserve billions of dollars in government contract revenue. This included identifying controlled unclassified information in more than 3,500 applications comprised of approximately 7,000 structured databases and protecting the information in methods outlined by the government. Working under a timeline that shrank from 18+ months to less than one year to comply with CMMC, FTI Technology's data governance experts were engaged to identify and analyse thousands of applications and databases across the organisation and develop a mitigation path for each. The team met the deadline and deployed a programme that allowed the client to comply with the most stringent regulations while remaining agile enough to adapt to emerging laws and data protection requirements.



## DIGITAL INSIGHTS

Transforming enterprise data—with the objectives of boosting efficiency, fulfilling social responsibilities and enabling new opportunities—has become a top priority for business leaders across virtually every industry and function, especially in legal and regulatory use cases.

Among global organisations, studies have shown a growing appetite for M&A to acquire technological platforms, and a significant focus on proactively transforming supply chains. More than ever before, organisations are prioritising the alignment of their strategies to social purpose and governance. As organisations implement transformation projects, technology advancement and the compliant use of data will underpin their investments.

FTI Technology’s expertise across information governance, data privacy, blockchain, legal operations and compliance is uniquely suited to help organisations design, test, launch and maintain proactive programmes that enhance their business. Key capabilities include:



### Contract Intelligence

FTI Technology provides contract management technology and services to help clients meet contract review needs across M&A, LIBOR compliance, corporate restructuring, data privacy and more. Combining leading-edge artificial intelligence and analytics technology, advanced workflows and attorney review teams experienced in contract analyses, our team can quickly identify relevant insights from within large contract datasets, providing clients with important rights and obligations across industries and business units.



### Blockchain Advisory

From small projects that streamline operations, to large-scale transformations of an organisation’s supply chain, blockchain technology is poised to deliver numerous benefits in manufacturing, energy, financial services, health care, automotive, agriculture and other industries. No matter where a company is in its strategy development or execution, our experts have a strong track record of collaborating across legal, security, IT, compliance, technology infrastructure and lines of business to ensure input and transparency with key stakeholders on blockchain solution policy development and implementation. FTI Technology’s Blockchain and Digital Assets practice can provide needs analysis, proof of concept, ROI studies, technology evaluations, architecture development, risk assessment and regulatory guidance, deployment and project management for any stage or scale project in any industry.

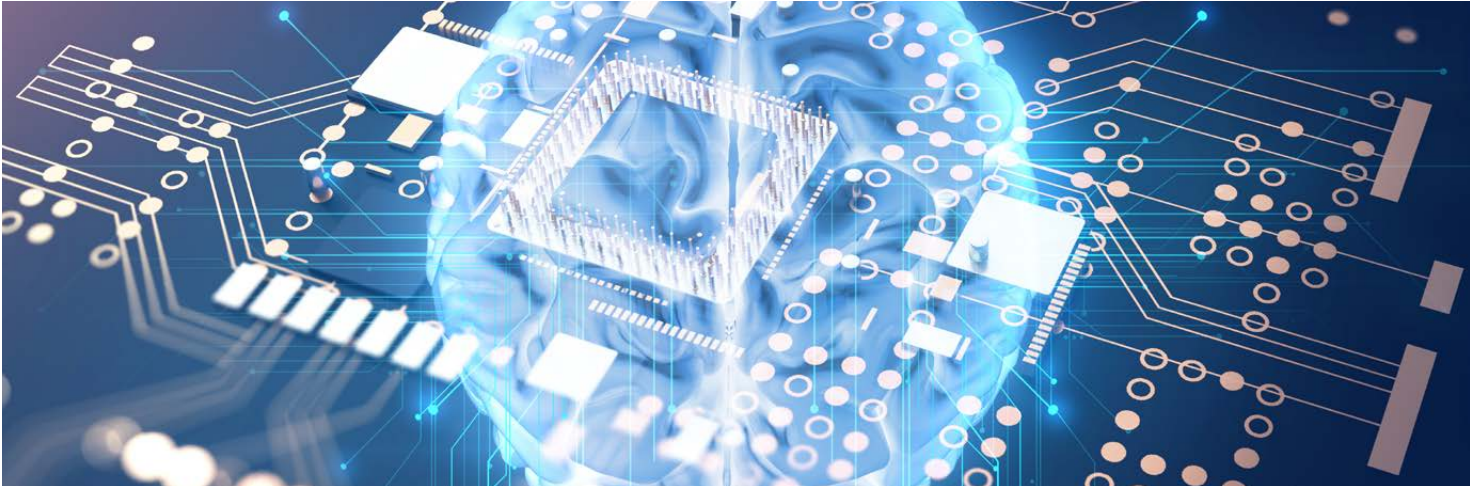




## Law Department Transformation and Technology Modernisation

With an emphasis on optimising investments across people, process and technology, FTI Technology guides clients through all facets of law department transformation and modernisation, including legal operations, information governance, e-discovery process development and technology implementation and adoption. We partner with in-house legal teams to develop a comprehensive assessment of the legal function, technology in place or under consideration and future objectives. Our experts provide a mix of services and technology across:

- Strategy and operating models for financial management, strategic planning, cross-functional alignment, road mapping and technology modernisation advisory. This includes the design and roll-out of legal spend analysis, e-billing, dashboards, matter management and vendor management programmes.
- Process improvement for litigation and investigations, information governance and records management, through data policy development and implementation, e-discovery support and investigation workflow consulting.
- Digital and departmental transformation support, from technology selection and implementation to integration, calibration and change management, so tools are aligned with workflows and in-house resources to ensure adoption and process improvement.
- Data strategy, reporting and analytics that enables informed decision making across load balancing, hiring, outsourcing and governance.



## DATA BREACH

The incidence of data breaches are skyrocketing around the world, as are the financial implications of these incidents. According to IBM Security's Cost of a Data Breach 2021 Report, data breach costs rose to more than £3 million (US\$4.24 million), the highest average total cost in the history of the report. When personal data is compromised, the stakes are even higher. Around the world, data privacy regulations are ramping in enforcement – fines under the General Data Protection Regulation rose by nearly 40% in the last year and global data protection laws have added millions to the cost of corporate compliance.

Investigating these incidents are costly, complex and can be highly disruptive to a business, and communications following them can make a significant impact on the reputational damage that results. Effective incident response is paramount in mitigating financial and reputational setbacks, avoiding legal repercussions, and restoring trust. FTI Technology's experts, in partnership with FTI Cybersecurity and FTI Consulting's Strategic Communications segment, understand the criticality of executing an immediate, decisive and multi-disciplinary response to limit long-term damage. Our data breach response capability seamlessly integrates across existing mission-critical functions and is backed by expertise in responding to all types of threats and risk factors. Key capabilities include:



### Preparation

FTI Consulting experts establish and train the incident response team and develop the tools and resources needed for every aspect of incident response.



### Detection and Analysis

Once an incident is identified, we combine the resources and tools necessary to determine the scope, impact, and appropriate response. These efforts determine the source of the incident and preserve necessary forensic artefacts.



## Containment, Eradication and Recovery

Upon detection of a breach, our teams will prohibit data from leaving the organisation's network, prevent further damage and eradicate and repair vulnerabilities and root causes. Through a post-incident assessment, we detail the cost, cause and response for the incident, along with steps that should be taken to prevent future breaches.



## Privacy Advisory

Our privacy experts help navigate complex breach notification requirements and design defensible workflows to support notification requirements to individuals and data protection authorities. This includes detection of high-risk data categories, prioritisation of notifications, extensive notification support services and more.



## Strategic Communications

Cybersecurity incidents require thoughtful and timely communications. FTI Consulting is the only firm to provide data privacy and cybersecurity expertise alongside a crisis communications practice. We provide crisis communications advice direct to our client's executive, crisis and incident teams.



## Remediation

We conduct reviews, across numerous formats and languages, to ensure thorough remediation, regulatory compliance and accurate document preparation following a breach.



## Complex Investigations and Litigation

During a breach investigation or subsequent legal action, we quickly unearth key facts and data sets to enable timely and strategic decisions, supporting our clients with evidence discovery, analysis, expert witness reports and more. Our teams can support this phase through digital forensic data collections and investigations, strategies for addressing cross-border challenges, managed review and more.





## EMERGING DATA SOURCES

An array of emerging data sources, including chat and instant messaging applications, collaboration tools and cloud-based platforms have become standard inside organisations around the globe. Many organisations are struggling to control the growth, management and discovery of these new data formats. To compound the problem, third parties typically host the data, but lack standard export workflows or preservation policies, making it difficult to obtain, quantify or assess. And should the data be relevant for a legal or regulatory matter, few e-discovery applications enable legal teams to organise or review it in context with other information.

FTI Technology's experts have been on the forefront of this new risk vector, across e-discovery, investigations, competition, data privacy and information governance matters. Our teams have developed novel solutions and workflows to address countless unexpected and unprecedented challenges and are among the first experts in their field to focus on emerging data sources as a practice area. Key capabilities include:



### Discovery for Legal, Regulatory and Investigatory Matters

Legal, compliance and IT teams are realising that not only are emerging data sources now considered as discoverable evidence, but they are inherently complicated to access, collect and format for legal review. Our expert services and proprietary technology allow clients to pull data from a wide variety of emerging data sources and visually organise it in a way that is easy to understand and review.



### Workflows for Permissions-Based Collections, Linked Content and Version Control

Cloud-based productivity suites and collaboration tools have turned a vast population of business records and documents into infinite spiderwebs of versions, dynamic access controls and interconnected linked content. These records, which may one day be needed for a legal, regulatory or other business purpose, have become so varied and fluid that it's become very difficult to quickly identify which iteration is the relevant one, or who had access to it along the way. FTI Technology has created custom workflows to account for these evolving dynamics and help clients better extract insights from them.



## Data Privacy and Compliance

With information flowing freely between hundreds of data sources and disparate employees, it's increasingly difficult to ensure that data privacy, governance and compliance policies are consistently followed. FTI Technology combines the insights from across our IGP&S and Emerging Data Sources practices to guide clients in accounting for emerging data sources within their governance framework, conduct consistent data privacy impact assessments on new tools implemented and inventory all data sources to meet regulatory requirements.



## Protecting Critical Information

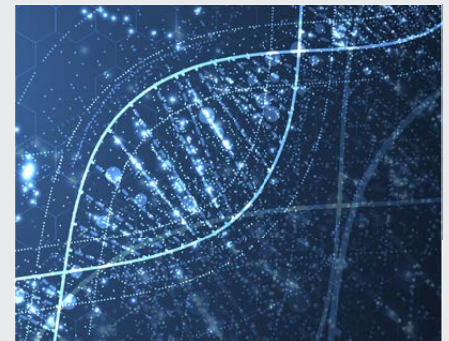
Just as emerging data sources can impact the protection of sensitive personal information, they can also expose IP and trade secrets if the proper controls are not put in place. Our teams can implement preventative and monitoring actions for our clients to maintain control and visibility of critical IP that may be created, stored or shared within emerging data sources.

### CLIENT SUCCESS STORY

## FTI TECHNOLOGY'S CONNECT AND ENRICH CAPABILITIES PROVIDE AN INNOVATIVE SOLUTION FOR EMERGING DATA SOURCES IN LARGE-SCALE ANTITRUST INVESTIGATION

A global life sciences company announced the planned acquisition of a competitor, triggering a large-scale antitrust investigation by the U.S. government. The investigation entailed collecting 43TB of data, then processing, reviewing and producing only the relevant information to regulators within a three-month window. FTI Technology's team collected data from more than 200

custodians across 19 different data sources. Many of these were cloud-based, emerging data sources, including Box, Mattermost, Confluence and other team collaboration apps. Despite the extremely large volume of data and the challenge of developing new technologies and methods to collect from various platforms, the entire investigation was completed and



data was produced to regulators within the government's deadline. Our bespoke approach and solutions delivered efficient and defensible data collection of more than 43GB from emerging data sources, processing of nearly 60GB of dynamic and diverse data and review of more than 6 million documents.





## WHY FTI TECHNOLOGY

FTI Technology solves data-related business challenges, with expertise in legal and regulatory matters. Clients rely on us to root out fraud, maintain regulatory compliance, reduce legal and IT costs, protect sensitive materials, quickly find facts and harness organisations data to create business value. We deliver:

### Expert Teams

Because no two environments are the same, FTI Technology's data scientists, digital forensic investigators, information governance, privacy and security experts, compliance specialists, e-discovery practitioners and software developers combine to gather requirements, problem-solve and develop a wide variety of analytics solutions to deliver concrete improvements with demonstrable value for organisations.

### Advanced Technology

FTI Technology can leverage our clients' existing infrastructure and enhance it with leading-edge technology, including forensic tools, machine learning, artificial intelligence, visual analytics, blockchain and robotics, to cost-effectively connect disparate data for critical business needs.

### White-Glove Case Management

Our case management and client service teams work collaboratively with clients to understand their comprehensive needs and provide strategic guidance and workflows that meet those needs in the most streamlined way. We manage all aspects of the case, including creating data plans, automating processes and tracking activities so we can provide clients with updates and insights in real time. These teams span the globe to enable a follow-the-sun approach for time-sensitive matters and ensure clients are supported every step of the way.

### Custom Solutions

FTI Technology specialises in developing bespoke solutions that fit each client's unique needs. No matter the industry, existing IT infrastructure or client pain point, our experts deliver practical solutions to meet critical business challenges.

### Critical Insights

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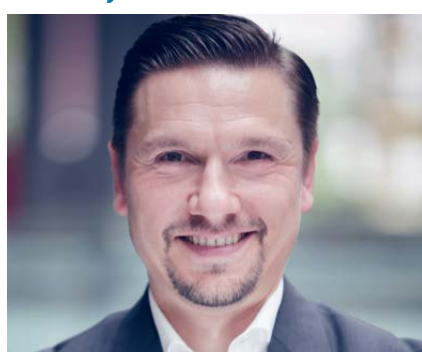
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## EXPERTS WITH IMPACT™

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